

FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 43890-448
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLIC. NO. (if known, see 37 CFR 1.5) 09/673628
INTERNATIONAL APPLICATION NO. PCT/JP00/00812	INTERNATIONAL FILING DATE February 15, 2000	PRIORITY DATE CLAIMED February 23, 1999	
TITLE OF INVENTION DISK SYSTEM AND METHOD OF UPDATING FIRMWARE			
APPLICANT(S) FOR DO/EO/US Masato HIGASHI			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1.	<input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input checked="" type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).	
4.	<input type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6.	<input checked="" type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).	
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.	
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11. to 16. below concern other document(s) or information included:			
11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/>	A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.	
14.	<input type="checkbox"/>	A substitute specification.	
15.	<input type="checkbox"/>	A change of power of attorney and/or address letter.	
16.	<input checked="" type="checkbox"/>	Other items or information. 1. International Search Report by Japanese Patent Office 2. Cover Sheet of Published International Application 3. Form PCT/IB/301 4. Form PCT/IB/304 5. Form PCT/IB/308	

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) <div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">09/673628</div>		INTERNATIONAL APPLICATION NO. PCT/JP00/00812		ATTORNEY'S DOCKET NUMBER 43890-448	
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				CALCULATIONS	PTO USE ONLY
17. <input checked="" type="checkbox"/> The following fees are submitted: <div style="margin-left: 20px;"> Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00 </div> <div style="text-align: right; margin-top: 10px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = </div>					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	7 -20 =	0	x \$18.00	\$	
Independent Claims	2 -3 =	0	x \$80.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 270.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1260.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
TOTAL NATIONAL FEE =				\$ 1260.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 1260.00	
				Amount to be: refunded	\$
				charged	\$

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

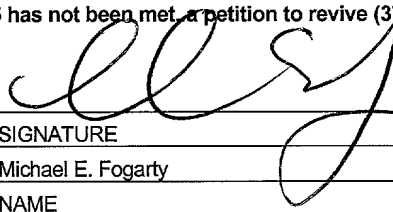
b. ☒ Please charge my Deposit Account No. 500417 in the amount of \$ 1260.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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 Washington, DC 20005-3096
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SIGNATURE	
Michael E. Fogarty	
NAME	
36,139	
REGISTRATION NUMBER	
October 19, 2000	
DATE	

Docket No.: 43890-448

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Masato HIGASHI :
Serial No.: : Group Art Unit:
Filed: October 19, 2000 : Examiner:
For: DISK SYSTEM AND METHOD OF UPDATING FIRMWARE

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Prior to examination of the above-referenced application, please amend the application as follows:

IN THE CLAIMS:

Claim 2, line 8, please change "said memory" to --said second memory--.

Claim 2, line 10, please change "said memory" to --said second memory--.

Claim 4, line 9, please change "said memory" to --said second memory--.

Claim 4, line 10, please change "said memory" to --said second memory--.

Claim 5, line 10, please change "said memory" to --said second memory--.


Claim 6, line 10, please change "said memory" to --said second memory--.

REMARKS

Entry of this preliminary amendment is respectfully requested.

Respectfully submitted,

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DISK SYSTEM AND METHOD OF UPDATING FIRMWARE

TECHNICAL FIELD

The present invention relates to a disk system comprising a plurality of disk devices and capable of updating the firmware of the disk devices, and a firmware updating method thereof.

BACKGROUND ART

Computer peripheral devices such as CD-ROM, CD-R, HDD (hard disk device) and other disk devices have an electrically erasable and programmable read-only memory (EEPROM) in which a program known as firmware for controlling the disk devices is stored. Version updating or bug correction of firmware in the disk devices is executed by updating the firmware in the EEPROM.

In the case these disk devices are general-purpose devices, generally, the computer updates the firmware as follows.

- An update firmware is taken into the HDD of the computer through floppy disk or the Internet.
- A disk device is designated, and the update firmware is transmitted.
- The disk device receives the update firmware, and writes it into the EEPROM.

However, if there are plural disk devices of a same type, the disk device to be updated must be correctly designated.

If the firmware of a wrong disk device is updated, this disk device may fail

to function normally.

On the other hand, in the case of a system composed of non-general disk devices, for example, in the case of jukebox or auto-changer, it is not easy to supply a new firmware from outside.

For this purpose, the update firmware is supplied into the disk device by using a special tool, or the disk device is dismounted from the system, and connected to a different computer, and the firmware is updated in each device.

As a result, the firmware updating is a complicated job, and in the case of a plurality of disk devices, each device must be dismounted and updated in order to update the same firmware.

SUMMARY OF THE INVENTION

The invention is intended to solve these problems, and it is hence an object thereof to present a disk system for updating the firmware of plural disk devices used as computer peripheral devices automatically, and a method of updating the firmware.

To achieve the object, the disk system of the invention comprises a computer composed of a plurality of disk devices having a first memory storing the firmware, and an update program for updating the specific information of the firmware of disk devices and the firmware data.

The firmware updating method of the invention is applied in the disk system comprising a computer composed of a plurality of disk devices having a first memory storing the firmware, and an update program for updating the specific information of the firmware of disk devices and the firmware data, comprising a starting step of starting the update program, a storing step of storing

the firmware of one of the disk devices into a second memory connected to the computer, and an updating step of transmitting the firmware stored in the second memory to the disk devices to be updated out of the disk devices, and updating to the firmware stored in the second memory.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram showing a basic configuration of a disk system in an embodiment of the present invention.

Fig. 2 is a flowchart showing an example of procedure of an embodiment of the firmware updating method in the disk system in Fig. 1.

Fig. 3 is a flowchart showing an example of procedure of other embodiment of the firmware updating method in the disk system in Fig. 1.

DESCRIPTION OF THE PREFERRED EMBODIMENT

First exemplary embodiment

The firmware updating system and its updating method in first exemplary embodiment of the present invention are described by referring to Fig. 1 and Fig. 2.

Fig. 1 is a block diagram showing a basic configuration of a disk system in an embodiment of the present invention. In Fig. 1, a disk system 1 comprises a computer 2, an operation panel 19, an interface controller 16, a disk interface controller 21, disk devices 22 to 26, a first bus 40, and a second bus 41.

The computer 2 comprises a CPU 14 for executing the intended control of the system, a second memory 3 (hereinafter called RAM 3) for temporarily storing necessary data for control, and a ROM 20 for storing a necessary command for

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control of the disk system 1. In part of the ROM 20, an update program 30 for updating the firmware of the disk devices is stored.

The first bus 40 couples with the CPU 14, RAM 3, ROM 20, operation panel 19, interface controller 16, and disk interface controller 21. The second bus 41 couples with the disk interface controller 21, and disk devices 22 to 26.

The interface controller 16 realizes a two-way data communication with the computer 2, operation panel 19, and disk devices 22 to 26. The disk interface controller 21 performs a two-way data communication with the computer 2 and disk devices 22 to 26.

The disk devices 22 to 26 include first memories 32 to 36 which are electrically erasable and programmable read-only memories (EEPROM 32 to 36). Each of the EEPROM 32 to 36 stores specific information such as a model name for designating the type of disk device, its revision number showing the version, and its firmware data such as program for controlling the disk device.

By the manipulation of the operation panel 19 or by turning on the power source of the disk system 1, the computer 2 starts the update program 30. Further, the computer 2 receives the specific information of the firmware stored in the EEPROM 32 to 36, and compares the specific information.

As the result of the comparison, the firmware of the latest version of the same type is stored in the RAM 3 through the disk interface controller 21. The firmware stored in the RAM 3 is transmitted to the disk device designated as a result of comparison, and the firmware of the specific EEPROM is updated to the transmitted firmware.

In this embodiment, on the basis of the comparison result of the specific information of the firmware, the firmware of the latest version is stored in the

RAM 3. On the other, when an operator recognizes the disk device having the firmware of the latest version, the firmware can be stored in the RAM 3 by input of the derive name of the disk device in the operation panel 19.

The disk devices 22 to 26 are generally optical disk devices such as DVD-ROM, CD-ROM, and CD-R. In this embodiment, the disk devices 22 to 24 are DVD-ROM devices, and the disk devices 25 and 26 are CD-ROM devices. The updating method in this embodiment will be more specially explained below in the case of the specific information of the disk devices as shown in Table 1.

Table 1

	Specific information
Disk device 22	DV2.00
Disk device 23	DV1.50
Disk device 24	DV1.00
Disk device 25	CD1.50
Disk device 26	CD1.50

The first two letters of the specific information in Table 1 refer to the model name designating the type. Numerals following the model names are revision number showing the version, and the larger number indicates the newer version.

The operation is explained below by referring to Fig. 2.

Fig. 2 is a flowchart showing an example of procedure of an embodiment of the firmware updating method in the disk system in Fig. 1.

Step 100 is a starting step, where the update program 30 is started up automatically by turning on the power source of the disk system 1, or by manipulation of the operation panel 19.

Step 101 is to execute an INQUIRY command defined in SCSI or ATAPI Standard of disk device.

After execution of step 101, at step 102, all disk devices 22 to 26 transmit the specific information of each firmware to the computer 2.

At step 103, the computer 2 recognizes the type of the transmitted specific information, and compares the version of the same type. That is, it is recognized that the type of the disk devices 22, 23, 24 is the DV, and the type of the disk devices 25 and 26 is the CD. Further comparing the version of the type DV, it is recognized that the revision number of the disk 22 is newer than the version of the disk devices 23, 24.

Step 104 is to judge presence or absence of the disk device to be updated of the firmware as a result of step 103. The version of the disk device 23, 24 of which type is DV is different from the version of the disk device 22, and it is judged necessary to update the firmware of the disk device 22.

As a result, going to a storing step of 105, the computer 2 commands transmission of the firmware to the disk device 22 which has the latest version of the disk devices of type DV, and stores this firmware in the RAM 3.

Consequently, at an updating step of 106, the firmware stored in the RAM 3 is transferred to the disk devices 23, 24 to be updated through the disk interface controller 21, and the disk devices 23, 24 update the firmware.

Next, at step 107, it is judged that comparison of versions of disk devices of type CD and update decision are not finished, and the process returns to step 103.

At step 103, the versions of the disk devices 25, 26 are compared, and it is recognized that the versions are the same.

At step 104, since the versions of the disk devices 25, 26 are the same, it is judged that updating of firmware is not necessary, and the process skips to step 107.

At step 107, it is recognized that judgement of step 104 is executed on all types, and the update program is terminated.

Second exemplary embodiment

A second exemplary embodiment of the present invention is described hereinafter by referring to Fig. 3.

Fig. 3 is a flowchart showing an example of procedure of other embodiment of the firmware updating method in the disk system in Fig. 1. In Fig. 3, same steps as in Fig. 2 are identified with same step numbers as in Fig. 2.

This embodiment is a method of limiting the version range in the disk devices of same type, and updating to the latest version in the limited range. In both types DV and CD, limiting the version range, that is, the revision number range from 1.00 to 1.99, the updating method is explained below.

At step 203, the computer 2 recognizes types of the transferred specific information, and compares the versions within the revision number range of the same type. It is first recognized that the type of the disk devices 22, 23, 24 is the DV, and the type of the disk devices 25 and 26 is the CD. Since the revision number range of type DV is limited within 1.00 to 1.99, the versions of the disk devices 23, 24 which are within this range are compared. As a result, it is recognized that the version of the firmware of the disk device 23 is the latest version.

At step 104, it is judged whether the disk device having the firmware to

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be updated is present or not as the result of step 203. Of the same type DV, since the version of the disk device 24 is different from the version of the disk device 23, it is judged necessary to update the firmware of the disk device 24.

As a result, going to step 105, the computer 2 commands transmission of the firmware to the disk device 23 having the latest version of the disk devices in the version range of 1.00 to 1.99 of type DV, and stores this firmware in the RAM 3.

At step 106, the firmware stored in the RAM 3 is transferred to the disk device 24 to be updated through the disk interface controller 21, and the disk device 24 updates the firmware.

Next, at step 107, it is judged that comparison of versions of disk devices of type CD and update decision are not finished, and the process returns to step 203.

At step 203, the versions of the disk devices 25, 26 are compared, and it is recognized that the versions are the same in the range of 1.00 to 1.99.

At step 104, since the versions of the disk devices 25, 26 are the same, it is judged that updating of firmware is not necessary, and the process goes to step 107.

At step 107, it is recognized that judgement of step 104 is executed for all types, and the update program is terminated.

In the second exemplary embodiment thus explained, the limiting version range can be previously registered in the ROM 20 in which the firmware update program is stored. It is also possible to store the limiting version range in a specific region of the new firmware used in updating, and judge by designating the condition.

In the devices of the same type, if the version cannot be updated because they are not compatible at the host side, this method is effective.

In the first and second embodiments, the specific information of the disk devices 22 to 26 are transferred to the computer 2, but if the specific information is preliminarily managed in the computer 2, such transfer of specific information can be omitted.

Further, in the first and second exemplary embodiments, the firmware of the latest version is stored in the RAM 3, and the firmware is transmitted to the disk device and the firmware is updated, and the scope of the invention also includes the constitution of storing the firmware data of the latest version in the RAM 3, transmitting the firmware data and the specific information transferred to the computer or managed preliminarily to the disk devices, and updating the firmware.

INDUSTRIAL APPLICABILITY

As described above, by incorporating the program for updating the firmware data integrally in the computer, and utilizing the firmware updating method, the firmware of a plurality of disk devices can be automatically updated without requiring any particular hardware, and with no help or little help of the operator. For example, when one disk device is replaced, the firmware of other disk can be easily updated by starting the update program.

Thus, without possibility of wrong judgement by the operator, the firmware can be updated correctly, and in particular the firmware of the jukebox or auto-changer of which updating is difficult can be easily updated automatically.

What is claimed is

1. A disk system comprising a computer composed of a plurality of disk devices having a first memory storing firmware, and an update program for updating specific information and firmware data of the firmware of said disk devices.

2. A firmware updating method applied in a disk system comprising a computer composed of a plurality of disk devices having a first memory storing firmware, and an update program for updating specific information and firmware data of the firmware of said disk devices, comprising:

a starting step of starting said update program;

a storing step of storing firmware of one of said disk devices into a second memory coupled to said computer, and;

an updating step of transmitting the firmware stored in said memory to a disk device to be updated out of said disk devices, and updating to the firmware stored in said memory.

3. The firmware updating method of claim 2, wherein

each of said specific information is composed of a model name designating type of each of the disk devices, and a revision number showing the version of the firmware, and;

said storing step is to store firmware of a disk device having a latest revision number.

4. The firmware updating method of claim 2, wherein

each of said specific information is composed of a model name designating

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type of each of the disk devices, and a revision number showing a version of the firmware;

said storing step is to store firmware of a disk device having a latest revision number out of the disk devices having same model name of said specific information and different revision numbers, in said memory, and;

said updating step is to update a disk device having the same model name as the firmware stored in said memory and different revision number from the firmware stored in said memory .

5. The firmware updating method of claim 2, wherein

each of said specific information is composed of a model name designating type of each of the disk devices, and a revision number showing a version of the firmware;

said storing step is to store firmware of a disk device having a latest revision number in a specified revision number range out of the disk devices having same model name of said specific information, and;

said updating step is to update a disk device in said specified revision number range, and having the same model name as the specific information stored in said memory.

6. The firmware updating method of claim 2, wherein

each of said specific information is composed of a model name designating type of each of the disk devices, and a revision number showing a version of the firmware;

said storing step is to store firmware of the disk device having a latest

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revision number out of the disk devices having same model name of said specific information and different revision numbers in a specified revision number range , and;

said updating step is to update the disk device having the same model name as the firmware stored in said memory and different revision number in said specified revision number range.

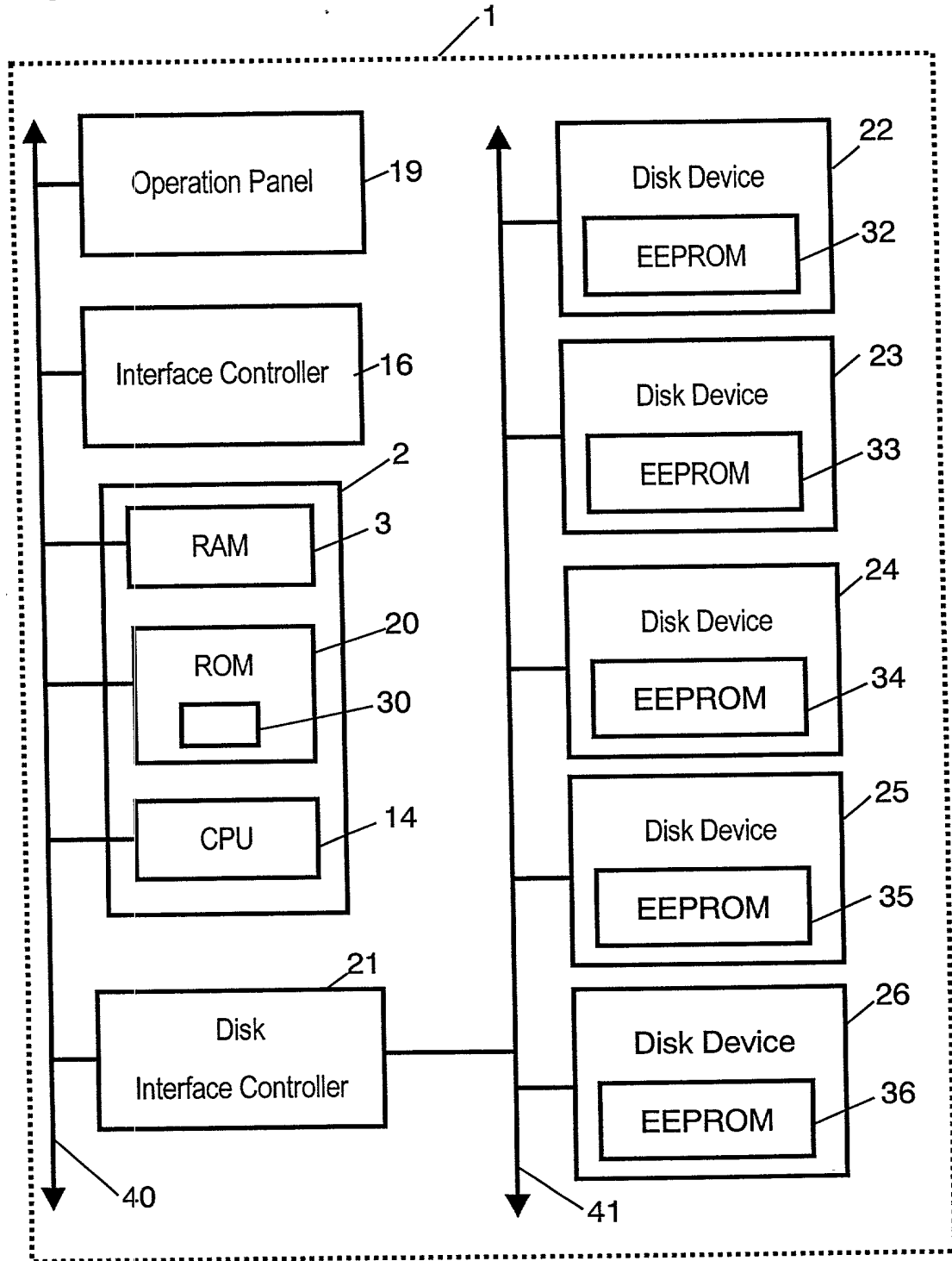
7. The firmware updating method of any one of claims 2, 3, 4, 5, and 6:

wherein said starting step is to start up said update program automatically when the power source of the disk system is turned on.

ABSTRACT

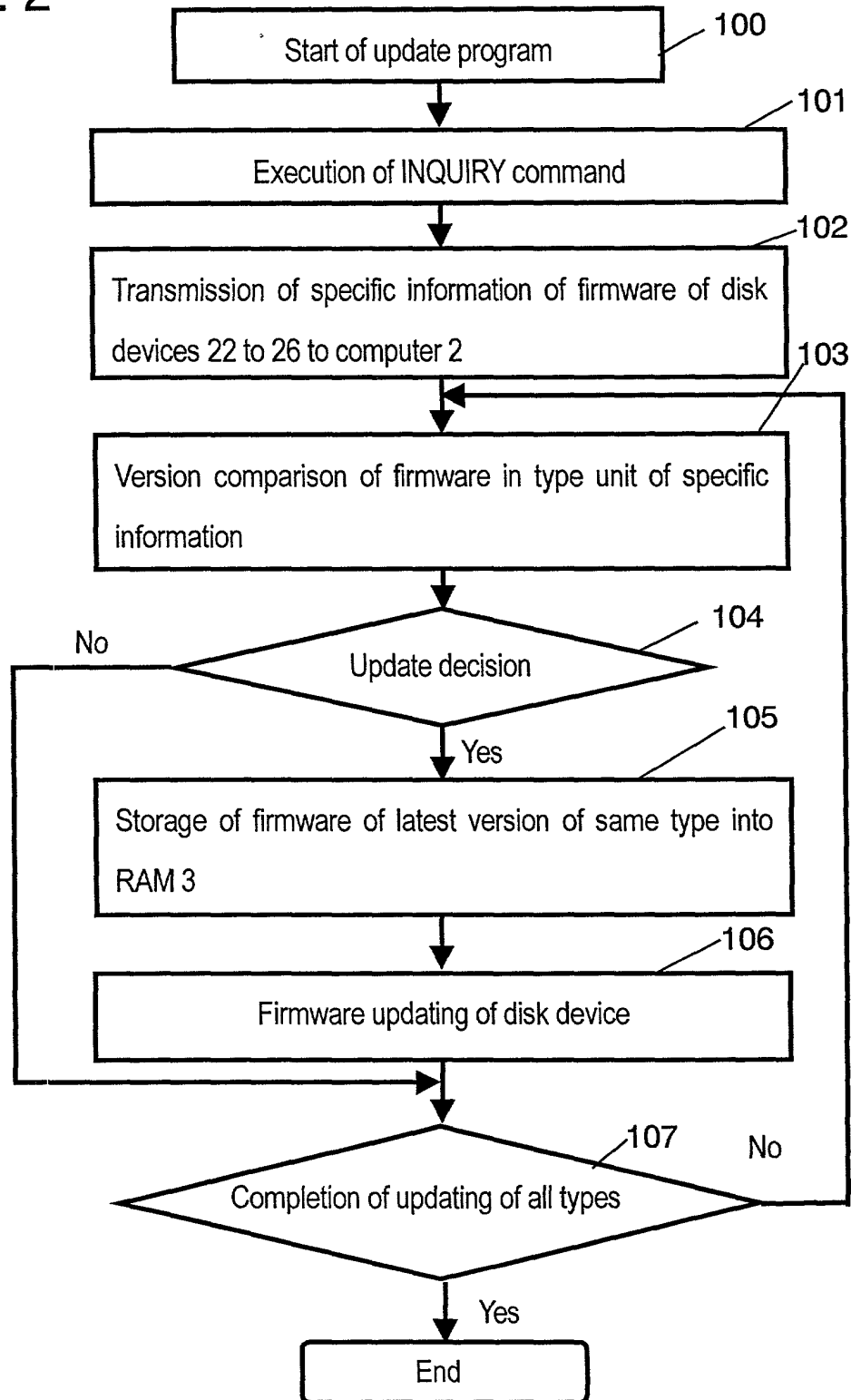
In a disk system (1) having a plurality of disks, an update program for updating the firmware is incorporated into a computer (2) of the disk system (1), the update program is started automatically by turning on the power source of the disk system (1) or manipulating an operation panel (19), the firmware of the latest version of disk devices (22 to 26) is stored in a RAM (3), and the firmware stored in the RAM (3) is transmitted to disk devices having a former version, and the firmware is updated.

Fig.1



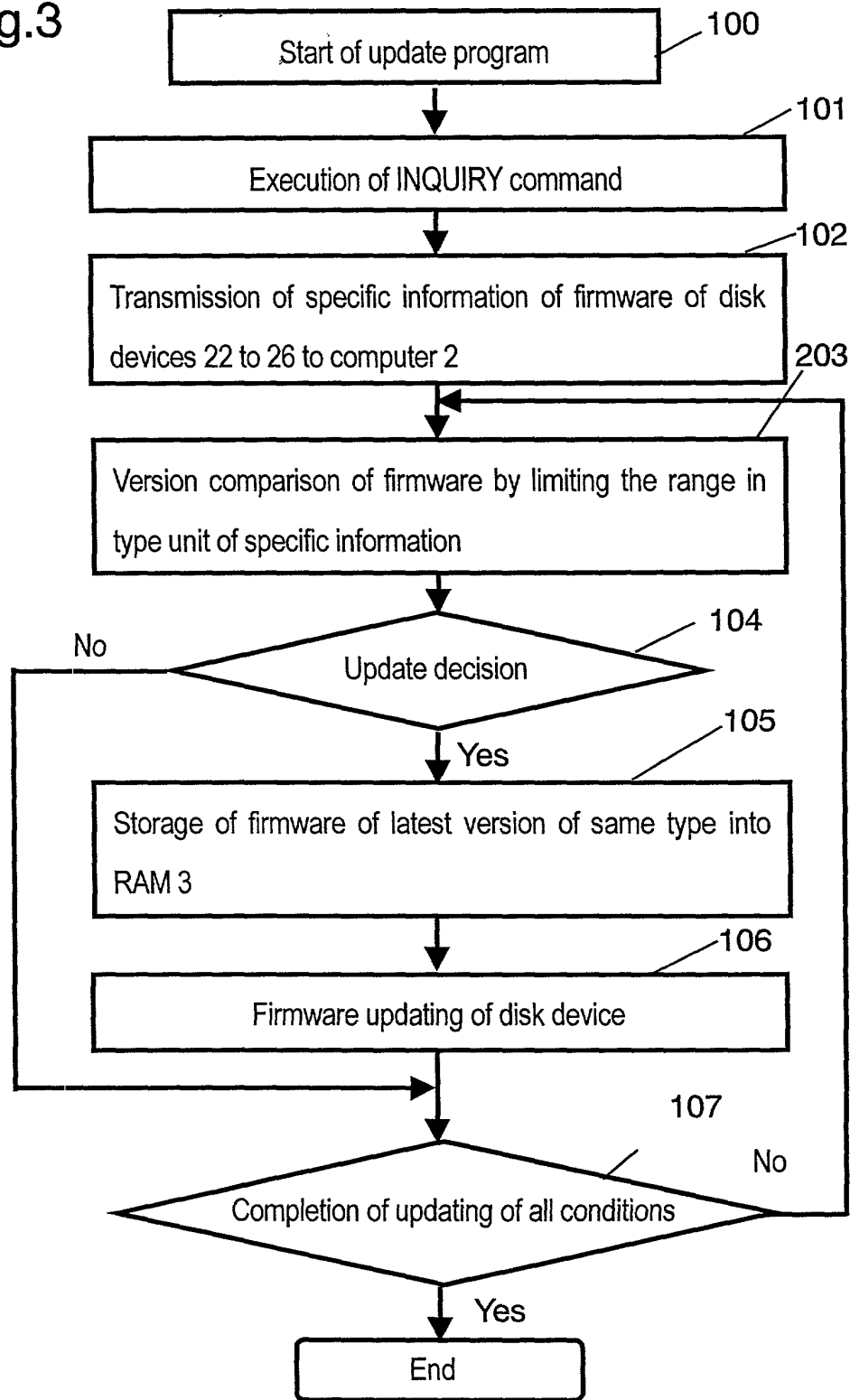
2 / 4

Fig. 2



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Fig.3



4/4

List of Reference Numerals

- 1 Disk system
- 2 Computer
- 3 Second memory
- 32 - 36 First memory
- 14 CPU
- 16 Interface controller
- 19 Operation panel
- 20 ROM
- 21 Disk interface controller
- 22 - 26 Disk device
- 40 First bus
- 41 Second bus

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

(Includes Reference to PCT International Application(s))

Attorney's Docket Number
43890-448

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DISK SYSTEM AND METHOD OF UPDATING FIRMWARE

the specification of which:

☐ is attached hereto.

☒ was filed as United States application Serial No. 09/673,628

on October 19, 2000

and was amended on October 19, 2000 (if applicable).

☒ was filed as PCT international application Number PCT/JP00/00812

on February 15, 2000

and was amended under PCT Article 19 on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or Section 365(b) of any foreign and/or international application(s) for patent or inventor's certificate or Section 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (If PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Japan	11-044366	February 23, 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below.

PRIOR PROVISIONAL APPLICATION(S):

Application Number	Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS			STATUS (Check One)		
U.S. Application Number	U.S. Filing Date		Patented	Pending	Abandoned
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT Application No.	PCT Filing Date	U.S. Serial Numbers Assigned (if any)			

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